UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/783,127	02/19/2004	Kwang-wook Oh	KCL0097US	2678
23413 CANTOR COL	7590 02/04/201 BURN LLP	1	EXAMINER	
20 Church Street 22nd Floor			BEISNER, WILLIAM H	
Hartford, CT 06	5103		ART UNIT	PAPER NUMBER
			1775	
			NOTIFICATION DATE	DELIVERY MODE
			02/04/2011	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

usptopatentmail@cantorcolburn.com

	Application No.	Applicant(s)	
	10/783,127	OH ET AL.	
Office Action Summary	Examiner	Art Unit	
	WILLIAM H. BEISNER	1775	
The MAILING DATE of this communication ap Period for Reply	ppears on the cover sheet with	the correspondence address	s
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING ID.  - Extensions of time may be available under the provisions of 37 CFR 1. after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period. Failure to reply within the set or extended period for reply will, by statut Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMUNICA .136(a). In no event, however, may a reply d will apply and will expire SIX (6) MONTH te, cause the application to become ABAN	TION.  be timely filed  from the mailing date of this commun DONED (35 U.S.C. § 133).	
Status			
1) ☐ Responsive to communication(s) filed on 04.  2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This action is application is in condition for allowed closed in accordance with the practice under	is action is non-final. ance except for formal matters	•	its is
Disposition of Claims			
4) ☑ Claim(s) 1-5,7-14 and 16-19 is/are pending in 4a) Of the above claim(s) 3-5,7-14 and 16 is/a 5) ☐ Claim(s) is/are allowed. 6) ☑ Claim(s) 1,2 and 17-19 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/are	are withdrawn from considerat	ion.	
Application Papers			
9) The specification is objected to by the Examin 10) The drawing(s) filed on is/are: a) ac Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the E	cepted or b) objected to by edrawing(s) be held in abeyance ction is required if the drawing(s)	. See 37 CFR 1.85(a). is objected to. See 37 CFR 1.	, ,
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreig  a) All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Bureat * See the attached detailed Office action for a list	nts have been received. nts have been received in Apportity documents have been re au (PCT Rule 17.2(a)).	lication No ceived in this National Stag	e
Attachment(s)  1) Motice of References Cited (PTO-892)	4) ☐ Interview Sun	nmary (PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	Paper No(s)/N	lail Date mal Patent Application	

Application/Control Number: 10/783,127 Page 2

Art Unit: 1775

## **DETAILED ACTION**

### **Continued Examination Under 37 CFR 1.114**

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 6/4/2010 has been entered.

#### **Election/Restrictions**

- 2. Applicant's election of Group I, Species i), in the reply filed on 6/27/2007 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).
- 3. Claims 3-5, 7-14 and 16 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention and/or species, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 6/27/2007. Note, Applicants did not state which claims encompass the elected species. As a result, it is determined that claims 6 and 15 correspond to elected species i) while claims 1 and 2 are generic. Therefore, claims 1, 2, 6 and 15 will be examined on their merits.

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 5. Claims 1, 2 and 17-19 are rejected under 35 U.S.C. 102(b) as being anticipated by Zhao et al.(US 2002/0079219).

With respect to claim 1, the reference of Zhao et al. discloses a device capable of PCR (Figures 1, 6 and 8) that includes an inlet (26, 608); an outlet (26); a PCR channel (14, 460, 604); a heat source (454, 602). The portions of the PCR channel near the inlet and the outlet of the PCR device are structurally capable of holding a sol-gel material and functioning as first and second micro-valves because the structure disclosed by the reference of Zhao et al. is structurally capable of being used with a sol-gel material positioned within the microchannels which function as microvalves. Note "Expressions relating the apparatus to contents thereof during an intended operation are of no significance in determining patentability of the apparatus claim." Ex parte Thibault, 164 USPQ 666, 667 (Bd. App. 1969). Furthermore, "[i]nclusion of material or article worked upon by a structure being claimed does not impart patentability to the claims." In re Young, 75 F.2d \*>996<, 25 USPQ 69 (CCPA 1935) (as restated in In re Otto, 312 F.2d 937, 136 USPQ 458, 459 (CCPA 1963)) (See MPEP 2115). The reference of Zhao et al. does not include additional heat sources and/or valves.

Application/Control Number: 10/783,127 Page 4

Art Unit: 1775

With respect to claims 2 and 17-19, the structure of the device of Zhao et al. is structurally capable of being used with the material recited in claims 2 and 17-19.

6. Claims 1, 2 and 17-19 are rejected under 35 U.S.C. 102(a) as being anticipated by Koh et al.(Anal. Chem.).

With respect to claim 1, the reference of Koh et al. discloses a device capable of PCR (Figure 1) that includes an inlet (6 or 8); an outlet (5 or 7); a PCR channel (PCR region); a heat source (See "PCR Setup" page 4593). The portions of the PCR channel near the inlet and the outlet of the PCR device are structurally capable of holding a sol-gel material and functioning as first and second micro-valves because the structure disclosed by the reference of Koh et al. is structurally capable of being used with a sol-gel material positioned within the microchannels which function as microvalves. Note "Expressions relating the apparatus to contents thereof during an intended operation are of no significance in determining patentability of the apparatus claim." Ex parte Thibault, 164 USPQ 666, 667 (Bd. App. 1969). Furthermore, "[i]nclusion of material or article worked upon by a structure being claimed does not impart patentability to the claims." In re Young, 75 F.2d \*>996<, 25 USPQ 69 (CCPA 1935) (as restated in In re Otto, 312 F.2d 937, 136 USPQ 458, 459 (CCPA 1963)) (See MPEP 2115). The reference of Koh et al. does not include additional heat sources and/or valves.

With respect to claims 2 and 17-19, the structure of the device of Zhao et al. is structurally capable of being used with the material recited in claims 2 and 17-19.

#### **Response to Arguments**

Application/Control Number: 10/783,127 Page 5

Art Unit: 1775

7. With respect to the rejection of Claims 1, 2 and 17-19 under 35 U.S.C. 102(b) as being anticipated by Wilding et al.(US 5,587,128), this rejection has been withdrawn in view of Applicants' amendments to the claims and related comments (See pages 6-8 of the response filed 6/4/2010). However, new grounds of rejection have been made over the references of Zhao et al.(US 2002/0079219) and Koh et al.(Anal. Chem.).

#### Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to WILLIAM H. BEISNER whose telephone number is (571)272-1269. The examiner can normally be reached on Tues. to Fri. and alt. Mon. from 6:15am to 3:45pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael A. Marcheschi, can be reached on 571-272-1374. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Art Unit: 1775

/William H. Beisner/ Primary Examiner Art Unit 1775

WHB